



12-12-05

JEW 1625 \$

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants: Ulrich Jordis et al.

Attorney Docket: SAN1003US

Serial No.: 09/980,025

Group Art Unit: 1625

Filed: March 18, 2002

Examiner: Amelia A. Owens

For: DERIVATIVES AND ANALOGS OF GALANTHAMINE

**TRANSMITTAL LETTER**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Transmitted herewith are the following:

1. Supplemental Information Disclosure Statement (2 pages);
2. Form 1449 listing 12 documents (1 page);
3. Copies of each of the non-U.S. documents listed on Form 1449 (9 documents); and
4. A check in the amount of \$180.00 to cover the fee under 37 C.F.R. § 1.97(c) for the submission of this Supplemental Information Disclosure Statement.

It is believed that no fees are due in connection with this submission. However if this is incorrect, please charge any additional fees to Deposit Account Number 16-2312.

Respectfully submitted,

Date: December 9, 2005

By Patrick J. O'Connell  
Customer No. 009561  
Patrick J. O'Connell (33,984)  
POPOVICH, WILES & O'CONNELL, P.A.  
650 Third Avenue South, Suite 600  
Minneapolis, MN 55402  
Telephone: (612) 334-8989  
Attorney for Applicants

**Certificate of Express Mailing (37 C.F.R. § 1.10)**

I hereby certify that this paper or fee is being deposited with the United States Postal Service as "Express Mail Post Office to Addressee" Mailing Label No. EV 769992021 US in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date indicated below.

Date: December 9, 2005Signature: Jodi JungName: Jodi Jung

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants: Ulrich Jordis et al.

Attorney Docket: SAN1003US

Serial No.: 09/980,025

Group Art Unit: 1625

Filed: March 18, 2002

Examiner: Amelia A. Owens

For: DERIVATIVES AND ANALOGS OF GALANTHAMINE

---

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Pursuant to Sections 1.56, 1.97, and 1.98 of Title 37 of the Code of Federal Regulations, the following information is set forth in compliance with Applicants' duty of disclosure, as well as the duty of others substantively involved in the preparation of the above-titled application.

The Applicants and others substantively involved in the preparation of the application are aware of the documents listed on the enclosed PTO-1449 form. Copies of the non-U.S. documents are enclosed.

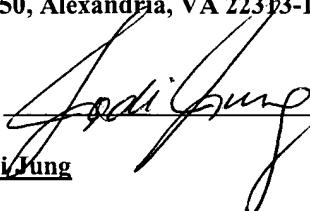
The present statement reflects the direct knowledge of the undersigned and information disclosed to the undersigned by the Applicants and others substantively involved in the preparation of the present application pursuant to their duty under 37 C.F.R. § 1.56.

---

Certificate of Express Mailing (37 C.F.R. § 1.10)

I hereby certify that this paper or fee is being deposited with the United States Postal Service as "Express Mail Post Office to Addressee" Mailing Label No. EV 769992021 US in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date indicated below.

Date: December 9, 2005

Signature: 

Name: Jodi Jung

12/13/2005 00125 00000022 09980025  
180.00 0P  
01 FC:1806

Supplemental Information Disclosure Statement  
Applicants: Ulrich Jordis et al.  
Serial Number: 09/980,025

Attorney Docket: SAN1003US

Applicants reserve the right to show, pursuant to 37 C.F.R. § 1.131 or otherwise, that any one or more of the documents listed on the PTO-1449 form is not prior art to the present invention.

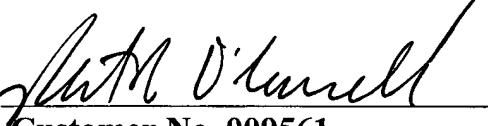
Pursuant to the Manual of Patent Examining Procedure, Chapter 609, Applicants request that the Examiner consider each of the listed documents and initial and return to the undersigned a copy of the enclosed PTO-1449 form.

A check in the amount of \$180.00 is enclosed to cover the fee under 37 C.F.R. § 1.97(c) for the submission of this Supplemental Information Disclosure Statement. It is believed that no fees are due in connection with this submission. However if this is incorrect, please charge any additional fees to Deposit Account Number 16-2312.

Respectfully submitted,

Date: December 9, 2005

By



**Customer No. 009561**

Patrick J. O'Connell (33,984)

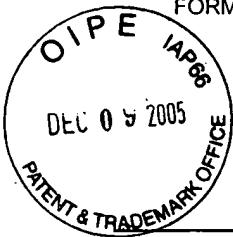
POPOVICH, WILES & O'CONNELL, P.A.

650 Third Avenue South, Suite 600

Minneapolis, MN 55402

Telephone: (612) 334-8989

Attorney for Applicants



|   |  |   |                      |            |
|---|--|---|----------------------|------------|
| E<br>IAP88<br>5/2005<br>PATENT AND TRADEMARK OFFICE |  | FORM PTO-1449    U.S. DEPARTMENT OF COMMERCE<br>PATENT AND TRADEMARK OFFICE | ATTY. DOCKET NO.     | SERIAL NO. |
|   |  |   | SAN1003US            | 09/980,025 |
|   |  |   | APPLICANTS           |            |
|   |  |   | Ulrich Jordis et al. |            |
|   |  | (Use several sheets if necessary)   | FILING DATE          | GROUP      |
|   |  |   | March 18, 2002       | 1625       |

## **U.S. PATENT DOCUMENTS**

## **FOREIGN PATENT DOCUMENTS**

|  | DOCUMENT NUMBER                | DATE     | COUNTRY     | CLASS | SUBCLASS | TRANSLATION<br>YES      NO |
|--|--------------------------------|----------|-------------|-------|----------|----------------------------|
|  | EP 0 236 684 B1                | 05/20/92 | EPO         |       |          |                            |
|  | EP 0 345 808 A1                | 12/13/89 | EPO         |       |          |                            |
|  | EP 0 653 427 A1                | 05/17/95 | EPO         |       |          |                            |
|  | EP 0 648 771 A1                | 04/19/95 | EPO         |       |          |                            |
|  | WO 95/27715                    | 10/19/95 | WIPO        |       |          |                            |
|  | WO 96/12692                    | 05/02/96 | WIPO        |       |          | X                          |
|  | WO 96/12692<br>(abstract only) | 05/02/96 |             |       |          |                            |
|  | NL 8800350                     | 09/01/89 | Netherlands |       |          | X                          |

**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)**

**EXAMINER**

**DATE CONSIDERED**

**EXAMINER:** Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.